

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on financial year ended on 31.03.2018
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS:

i	CIN	U65921DL1996PTC084042
ii	Registration Date	24/12/1996
iii	Name of the Company	WINDPIPE FINVEST PRIVATE LIMITED
iv	Category/Sub-category of the Company	Limited by Shares / Non Govt. Company
v	Address of the Registered office & contact details	14, Dayanand Vihar, Backside Ground Floor, Vikas Marg Ext. Delhi-110092, INDIA
vi	Whether listed company	Email Id: swatiaugust.91@gmail.com
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	NA

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

Sl. No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Financial Service Activities	64199	100.00%

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

Sl No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
1	Ever-Style Services Private Limited	U74140DL2000PTC105235	Associate	49.00%	2(6)

For Windpipe Finvest Pvt. Ltd

Deven Kumar
Director

For Windpipe Finvest Pvt. Ltd

[Signature]
Director

IV **SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)**
(i) **Category-wise Shareholding :**

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	-	1664340	1664340	99.94	-	1664340	1664340	99.94	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.	-	-	-	-	-	-	-	-	-
d) Bodies Corporates	-	-	-	-	-	-	-	-	-
e) Bank/FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
SUB TOTAL:(A) (1)	-	1664340	1664340	99.94	-	1664340	1664340	99.94	-
(2) Foreign									
a) NRI- Individuals	-	-	-	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e) Any other...	-	-	-	-	-	-	-	-	-
SUB TOTAL (A) (2)	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter									
(A)= (A)(1)+(A)(2)	-	1664340	1664340	99.94	-	1664340	1664340	99.94	-
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/FI	-	-	-	-	-	-	-	-	-
c) Central govt	-	-	-	-	-	-	-	-	-
d) State Govt.	-	-	-	-	-	-	-	-	-
e) Venture Capital Fund	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIS	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
SUB TOTAL (B)(1):	-	-	-	-	-	-	-	-	-
(2) Non Institutions									
a) Bodies corporates									
i) Indian									
ii) Overseas									
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakhs	-	1000	1000	0.06	-	1000	1000	0.06	0.00
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	-	0	0	0.00	-	-	-	-	0
c) Others (specify)	-	-	-	-	-	-	-	-	-
SUB TOTAL (B)(2):	-	1000	1000	0.06	-	1000	1000	0.06	0
Total Public Shareholding (B)= (B)(1)+(B)(2)	-	1000	1000	0.06	-	1000	1000	0.06	0
C. Shares held by Custodian for GDRs & ADRs									
	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	1665340	1665340	100%	-	1665340	1665340	100%	-

For Windpipe Finvest Pvt. Ltd

Rajesh Kumar
Director

For Windpipe Finvest Pvt. Ltd


[Signature]
Director

(ii) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Yash Pal Gupta	173065	10.39	-	173065	10.39	-	0.00
2	Rajesh Gupta	164435	9.87	-	164435	9.87	-	0.00
3	Parveen Gupta	131590	7.90	-	131590	7.90	-	0.00
4	Parveen Gupta HUF	82200	4.94	-	82200	4.94	-	0.00
5	Sachin Gupta	88800	5.33	-	88800	5.33	-	0.00
6	Rajesh Kumar Gupta HUF	62600	3.76	-	62600	3.76	-	0.00
7	Suman Gupta	60400	3.63	-	60400	3.63	-	0.00
8	Yash Pal Gupta HUF	87950	5.28	-	87950	5.28	-	0.00
9	Rekha Gupta	71700	4.31	-	71700	4.31	-	0.00
10	Subash Rani	79550	4.78	-	79550	4.78	-	0.00
11	Saroj Gupta	76500	4.59	-	76500	4.59	-	0.00
12	Sukriti Gupta	10000	0.6	-	10000	0.60	-	0.00
13	Saurabh Gupta	35850	2.15	-	35850	2.15	-	0.00
14	Rachit Gupta	49300	2.96	-	49300	2.96	-	0.00
15	Tripti Gupta	53050	3.19	-	53050	3.19	-	0.00
16	Rachit Gupta HUF	41650	2.50	-	41650	2.50	-	0.00
17	Agam Gupta	47650	2.86	-	47650	2.86	-	0.00
18	Prachi Gupta	46600	2.80	-	46600	2.80	-	0.00
19	Saurabh Gupta HUF	45400	2.73	-	45400	2.73	-	0.00
20	Rohin Gupta	40100	2.41	-	40100	2.41	-	0.00
21	Rohit Gupta HUF	26200	1.57	-	26200	1.57	-	0.00
22	Prerna Gupta	24800	1.49	-	24800	1.49	-	0.00
23	Sonam Gupta	37600	2.26	-	37600	2.26	-	0.00
24	Sachin Gupta HUF	62200	3.73	-	62200	3.73	-	0.00
25	Gopal Dass Gupta HUF	65150	3.91	-	65150	3.91	-	0.00
	Total	1664340	99.94		1664340	99.94		0.00

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)

Sl. No.	Share holding at the beginning of the Year		Cumulative Share holding during the year	
	No. of Shares	% of total shares of the company	No of shares	% of total shares of the
	At the beginning of the year			
	Date wise increase/decrease in Promoters Share holding during the year			
	At the end of the year			
	There was no change in the Shareholding for the financial year 2017-18			

For Windpipe Finvest Pvt. Ltd

 Director

For Windpipe Finvest Pvt. Ltd

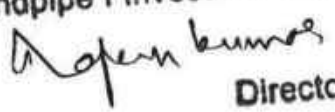

 Director

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

Sl. No	For Each of the Top 10 Shareholders	Name of Shareholder	Shareholding at the Beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No of shares	% of total shares of the company
At the beginning of the year		Neelam	500	0.03		
		Sushmita	250	0.02		
		Amrit Kaur	250	0.02		
Date wise increase/decrease in Share holding during the year	There was no change in the shareholding during the financial year 2017-18					
At the end of the year		Neelam			500	0.03
		Sushmita			250	0.02
		Amrit Kaur			250	0.02

(v) Shareholding of Directors & KMP

Sl. No	For Each of the Directors & KMP	Name of Director/KMP	Shareholding at the Beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No of shares	% of total shares of the
At the beginning of the year		Yash Pal Gupta	173065	10.39		
		Rajesh Kumar Gupta	164435	9.87		
		Prerna Gupta	24800	9.87		
Date wise increase/decrease during the year	There was no change in the shareholding during the financial year 2017-18					
At the end of the year		Yash Pal Gupta			173065	10.39
		Rajesh Kumar Gupta			164435	9.87
		Prerna Gupta			24800	1.49

For Windpipe Finvest Pvt. Ltd

 Director

For Windpipe Finvest Pvt. Ltd

 Director

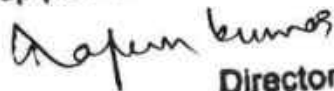
V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	4487130.58	251089211.83	0.00	255576342.41
ii) Interest due but not paid	0.00	6149971.00	0.00	6149971.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	4487130.58	257239182.83	0.00	261726313.41
Change in Indebtedness during the financial year				
Additions	0.00	11588010.00	0.00	11588010.00
Reduction	-617125.91	-40251200.83	0.00	-40868326.74
Net Change	-617125.91	-28663190.83	0.00	-29280316.74
Indebtedness at the end of the financial year				
i) Principal Amount	3870004.67	225099563	0.00	228969567.67
ii) Interest due but not paid	0.00	3476429.00	0.00	3476429.00
iii) Interest accrued but not due	0.00	0.00	0.00	
Total (i+ii+iii)	3870004.67	228575992.00	0.00	232445996.67

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager	Total Amount
		Whole Time Director	
		Yash Pal Gupta	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961.	10,80,000	10,80,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0
2	Stock option	0	0
3	Sweat Equity	0	0
4	Commission	0	0
	as % of profit	0	0
	others (specify)	0	0
5	Others, please specify	0	0
	Total (A)	10,80,000	10,80,000
	Ceiling as per the Act	4,27,842.21	4,27,842.21

For Windpipe Finvest Pvt. Ltd

Director

For Windpipe Finvest Pvt. Ltd


Director

B. Remuneration to other directors:

Sl.No	Particulars of Remuneration	Name of the Directors	Total Amount
1	Independent Directors	No remuneration has been paid to the Directors during the Financial Year 2017-18.	
	(a) Fee for attending board committee meetings		
	(b) Commission		
	(c) Others, please specify		
	Total (1)		
2	Other Non Executive Directors		
	(a) Fee for attending board committee meetings		
	(b) Commission		
	(c) Others, please specify.		
	Total (2)		
	Total (B)=(1+2)		
	Total Managerial Remuneration		
	Overall Ceiling as per the Act.		

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1	Gross Salary	Not Applicable			
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.				
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961.				
2	Stock Option				
3	Sweat Equity				
4	Commission				
	as % of profit				
	others, specify				
5	Others, please specify				
	Total				

For Windpipe Finvest Pvt. Ltd
Rajesh Kumar
 Director

For Windpipe Finvest Pvt. Ltd

 Director

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
A. COMPANY					
Penalty					
Punishment					
Compounding			NONE		
B. DIRECTORS					
Penalty					
Punishment					
Compounding			NONE		
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding			NONE		

For Windpipe Finvest Pvt. Ltd

Deepam Kumar
Director

For Windpipe Finvest Pvt. Ltd

[Signature]
Director